Software Fault Prediction Model for Embedded Systems: A Novel finding

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Abstract— Software testing plays a vital role in software development especially when the software developed is mission, safety and business critical applications. Software testing is the most time consuming and costly phase. Prediction of a module into fault-prone and non fault-prone prior to testing is one of the cost effective technique. Predicting a safe module as faulty increase the cost of project by more cautious and better-test resources allocation for those modules, whereas prediction of faulty code as fault free code end up in under-preparation and may leave modules untested this may cause accidental failure and lead towards massive loss. In this research, we present a novel fault prediction technique that reduces the probability of false alarm (pf) and increases the precision for detection of faulty modules. The general expectation from a predictor is to get very high probability of false alarm (pf) to get more reliable and quality software product. We have taken embedded systems software for this study and the goal is to predict as many faulty modules as possible. In this paper we apply a supervised discretization for pre-processing and clustering based classification for prediction of a modules into fault-prone (fp) and non fault-prone (nfp) modules. To evaluate this approach we perform an extensive comparative experimental study for the effectiveness of our method with benchmark results for the same embedded software’s. Our fault prediction model produces better results than the standard and benchmark approaches for software fault prediction.

Results from our proposed model significantly decreases probability of false alarm (pf) down to 9% while increasing precision and balance rates at 68% and 79% respectively.

Keywords Software fault prediction, supervised discretization, and software metric.

I. INTRODUCTION

The demand of highly reliable and secure system is increasing day by day. To fulfill these demands by the ever-increasing power of computing devices, systems are growing complex. Due to the complexity of these systems, effort and cost incur in development is increasing. Software complexity is the main source of failure and potential hazards. High quality software within allocate budget requires a careful planning and cost effective use of testing resources. Mission critical or safety and business critical system needs more reliability and therefore requires more testing time and resources.

Testing phase is the most expensive, time and resource consuming phase of the software development lifecycle requires approximately 50% of the whole project schedule [1, 2]. So an effective and intelligent test strategy can minimize the time of testing by using resources efficiently. Various methods for minimization of testing effort, inspections [3], manual software reviews or automated models [4], [5] are proposed. A panel at IEEE Metrics 2002 [6] concluded that manual software reviews can find approximately 60% of faults. Automated models proposed for fault prediction are useful tools for software organizations and significantly better in terms of fault detection performance, compared to other verification, validation and testing activities [5][17][18]. These automated models uses static code attributes such as Lines of Code (LOC) and the McCabe/Halstead complexity and other software attributes that can be easily extracted from source code repositories even for large systems.

Software fault prediction uses various method-level static code software metrics such as Halstead, Mc- Cabe metrics etc. to categorize modules and predicting them either fault-prone(fp) or non-fault prone(nfp) modules by using classification model derived from the data of projects. In software fault prediction problems, we have X = {x₁, x₂, …, xₙ}, where x represents software module that is characterized by software metrics and Y = {fp,nfp}, where an unknown system S predictive model transforms from instances X to predicted classes Y; Y = S(X). Prediction models based on software metrics, can estimate number of faults in software modules as well as which module is faulty. So the predictive models are easy to use and faster to run for highlighting fault-prone modules compared to inspections [4][5][7]. Fault predictors models are useful tools for software organizations to manage their testing resources effectively through focusing on fault-prone software modules to improve software quality. Many researchers have already worked for fault prediction model and various software metrics and techniques like linear regression, decision trees, neural networks and Naive Bayes classification have been analyzed [5][8][9].

This study specifically includes projects of white goods manufacturer from the Turkish software industry for embedded systems domain. Embedded systems are used in many industries such as white goods, automotive, telecommunications and aerospace [10]. We produce the experiments on these embedded system software’s with cluster based classification in order to compare it by Ayse et al.[23] framework for the same embedded systems. We use the same pd, pf, precisions and balance in order to analyze the effectiveness of our framework. Our results indicate that cluster based classification used after supervised discretization process may increase the prediction performance significantly. We implemented high-performance fault prediction model based on classification via clustering for benchmarking. We compared the results of our model with ensembles methods used in [23] ensemble (Ens1) which includes

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ANN, Voting Feature Intervals (VFI) Naïve Bayes and other ensemble( Ens2) which uses Naïve Bayes and VFI algorithm.

In the following section, models used for defect prediction are explained. After describing the experimental design, results, and conclusions will be given.

II. RELATED WORK

Researchers have used various methods such as statistical analysis, regression, Genetic Programming [11], Decision Trees [12], Neural Networks [13], Naïve Bayes [5], Case-based Reasoning [14], Fuzzy Logic [15] and Logistic Regression [16] for software fault prediction.

Elish et al. [17] investigated the performance of Support Vector Machines (SVMs) and found SVM better than, or at least is competitive against the other statistical and machine learning models in the context of four NASA datasets. They compared the performance of SVMs with the performance of Logistic Regression, Multi-layer Perceptrons, Bayesian Belief Network, Naïve Bayes, Random Forests, and Decision Trees. They used correlation based feature selection technique (CFS) to down select the best predictors out of the numbers of independent variables in the datasets. Catal et al. [18] investigated effects of dataset size, metrics set, and feature selection techniques and found Random Forests provides the best prediction performance for large datasets and Naïve Bayes is the best prediction algorithm for small projects. Tomaszewski [19] have conducted Statistical models vs. expert estimation for fault prediction and found statistical techniques performed superior to locate software fault than an expert estimations approach stating that “When it comes to comparing both methods we found that statistical models outperformed expert estimations”.

Gondra’s [20] experimental results showed that Support Vector Machines provided higher performance than the Artificial Neural Networks for software fault prediction. Quah [21] used a neural network model with genetic training strategy to predict the number of faults, the number of code changes required to correct a fault, the amount of time needed to make the changes and he proposed new sets of metrics for the presentation logic tier and data access tier. Turhan et al. [22] analyzed the effects of preprocessing of software defect data from NASA with PCA ,subset selection and weighted Naïve Bayes and concluded that either pre-processing software defect data with PCA or using weighted Naïve Bayes should be preferred rather than subset selection for Naïve Bayes models.Menzies et al. [5] reported that Naïve Bayes with logNums filter achieves the best performance in terms of the probability of detection (pd-71%)and the probability of false alarm (pf-25%) values which are much larger than their prior results of mean (pd, pf)=(36%, 17%). They also stated that there is no need to find the best software metrics group for software fault prediction because the performance variation of models with different metrics group is not significant and the choice of learning method is more important. Almost all the software fault prediction studies use metrics and fault data of previous software release to build fault prediction models, which are called “supervised learning” approaches in machine learning community. There have been discussions on finding the best classifier for fault predictors. Lessmann et al. [35] argued that their 15 best performing classifiers were statistically indistinguishable from each other in terms of the area under the receiver operating characteristic (ROC) curve. The authors did not use any filtering or transformation techniques. Instead, they used the algorithms on the original data to measure their effectiveness on detecting defect-prone modules. Ayse et al [23] used multiple predictors (classifiers) to produced better results for locating defects they used an ensemble of classifiers to predict defects in embedded software and achieved probability of detection (pd-76%) and the probability of false alarm (pf-22%) for ensemble (Ens1) which combines ANN, Voting Feature Intervals(VFI) and NB and probability of detection (pd-69%) and the probability of false alarm (pf-17%) by ensemble (Ens2) which uses only Voting Feature Intervals(VFI) and NB.

We used embedded data from promise repository [25] which is an open source repository for fault data. Therefore; all projects used in this study are available online. So, this work can easily be repeated, improved or refuted by other researchers [24][22].We present a defect prediction model based on cluster based classification for embedded and mission critical software results reveal probability of detection (pd-76%) and the probability of false alarm (pf-9%) which is statistically significant by the earlier models.

Earlier, embedded software’s in systems was only used to control the hardware. However, the purpose of embedded systems has grown with the ever-increasing power of computing given a rise in the demand [26]. This increase in demand makes the software more sophisticated, complex and hence, more important. Unlike general software systems, reliability standards always for embedded system remain very high [48]. Embedded software systems are reactive in the nature because they have been used in real-time applications and have real time constraints and are often safety-critical. Their failures can result in the loss of human life. So, the impact of residual defects in embedded software would be much higher than defects in other types of software. To decrease the cost of fixing defects during later stages of development life cycle software developers and testers have to ensure the reliability of software. Tight schedules and increasing cost of testing, on the other hand, enforce limited testing which may prevent identifying severe faults in the software. This dramatically affects quality attributes, such as timeliness, reliability and dependability [27]. Therefore, developers in the embedded software domain need additional techniques to preserve the reliability of software. As early warning mechanisms, defect predictors would be very helpful for practitioners in order to improve product quality in embedded systems in a shorter time and with fewer resources, compared to other verification, validation and testing activities [28]. In this research we use static code attributes as predictor variables. A complete list of these attributes is available on line in the Promise repository [24]. Static code attributes used in defect prediction have been accepted as valuable metrics by many researchers, for example [5][30].
III. EXPERIMENTAL DESIGN

From an industrial perspective, software managers aim to decrease their testing efforts while decreasing fault rates, thereby producing high quality real time systems. We observed that cluster based classifiers would detect 76% of the faulty modules with precision of 68%. Also the cluster based classification decreases the false alarms rates. In commercial applications, companies need to employ cost effective oracles, since an increase in false alarms would waste inspection costs by guiding testers through actually safe modules. Therefore, in this paper, our objective is “building a learning-based fault predictor for embedded systems that would decrease false alarms while producing high detection rates”.

We used a cluster-based classification framework in which fault-prone and not fault-prone modules are grouped into clusters. In our experiment we use supervised discretization as preprocessing technique. We first discretized the data using entropy based supervised discretization to divide the continuous attributes into the range intervals. It makes learning more accurate and faster. After supervised discretization we have applied clustering technique on processed data to build and effective fault prediction model. We evaluated this model on embedded software and then compared this method with a recent study which is based on ensemble classification for the same embedded softwares [23].

As indicated by Shull et al. [43], replications help the software engineering researchers to address internal and external validity problems. These types of studies also lead the research community to build a solid knowledge about the influence of conditions on the experimental results and observations. In this study, we observed a recent study on defect predictors for embedded system by Ayse et al [23] and we have reproduced better results via new techniques in order to find the better approaches for fault prediction.

Lessman et al. [35] reported that data preprocessing or engineering activities such as the removal of noninformative features or the discretization of continuous attributes may improve the performance of some classifiers [35]. For example, Menzies et al. report that their Naive Bayes classifier benefits from feature selection and a log-filter preprocessor [5].

Discretisation is the transformation of a continuous variable into a discrete space, grouping together multiple values of a continuous attribute, and partitioning the continuous domain into a finite numbers of non-overlapping intervals. The task of discretizing an input attribute for classification problems is usually divided into supervised discretisation, when knowledge interdependency between the class level and attribute values is used for the discretization process and unsupervised discretization, when the class values of the instances are unknown or not used. The methods for unsupervised discretization are equal-width and equal-frequency binning. The equal width divides the range of values of a numerical attribute into a pre-determined number of equal intervals. The equal frequency divides the range of values into a pre-determined number of intervals that contain equal number of instances.

Supervised algorithms are maximum entropy [37], Patterson and Niblett [39], statistics- based algorithms like ChiMerge [40] and Chi2 [41].

Fayyad & Irani developed a concept of entropy based partitioning in [36]. We have used entropy based [46][36] discretization before classification.

Fayyad & Irani's approach was developed in the context of decision tree learning that tries to identify a small number of intervals, each dominated by a single class. They first suggested binary discretization, which discretizes values of continuous attribute into two intervals. The training instances are first sorted in an increasing order, and the mid-point between each successive pair of attribute values is evaluated as a potential cut point. The algorithm selects the best cut point from the range of values by evaluating every cut point candidate. For each evaluation of a candidate, the data is discretized into two intervals and the entropy of the resulting discretization is computed. In a given a set of instances S , a feature A, and a partition boundary T, the class information entropy of the partition induced by T, denoted $E(A,T; S)$ is given by

$$E(A,T; S) = S_1/S \cdot \text{Ent}(S_1) + S_2/S \cdot \text{Ent}(S_2)$$

For a given feature A, the boundary Tmin which minimizes the entropy function over all possible partition boundaries is selected as a binary discretization boundary. This method can be applied recursively to both of the partitions induces by Tmin until some stopping condition is achieved. Fyyad and Irani make use of minimal descriptive length Principle to determine stopping criteria for their recursive discretisation process. Recursive partitioning within a set of value S stops if

$$\text{Gain}(A, T, S) = \log_2(N-1)/N + (\Delta E(A, T, S))/N$$

Where N is the number of instance in the set S,

$$\text{Gain}(A, T, S) = \sum_{i=1}^{k} [\text{Ent}(S_i) - k \cdot \text{Ent}(S)]$$

and $k$ is the number of class labels represented in the set S. Since the partitions along each branch of the recursive discretisation are evaluated independently using this criteria, some areas in the continuous space will be partitioned very finely whereas other which has relatively low entropy will be partitioned coarsely.

Once the discretisation process has been completed, the discretized data is used by cluster based classification algorithm for building the predictive model. Clustering is one method to find most similar groups from given data, which means that data belonging to one cluster are the most similar; and data belonging to different clusters are the most dissimilar. Any clustering algorithm such as the hard c-means, mean clustering algorithms can be used. We have used the Simple k-means clustering algorithm that uses a fixed number of clusters. The number of cluster made by our algorithm is equal to the number of classes of data i.e. two one for faulty and other for not faulty. In our approach we have not used the class labels for cluster
building. Finally after building clusters mapping is done on the basis of lowest error. A particular class label can be associated with at most one cluster. To overcome the sampling bias we have used M*N-way cross validation where both M and N are selected as 10 [44]. We create 10 stratified bins: 9 of these 10 bins are used as training sets and the last one is used as the test set. We randomize the dataset M = 10 times and create N = 10 sets in each iteration. We apply clustering based classification algorithm on the preprocessed embedded fault dataset. Finally we have compared our result with the Ens1 and Ens2 used by [23] for fault prediction of embedded systems. The pseudo code of the model is shown below in Fig. 1.

Procedure Evaluation data Learning (data, scheme)
Input: data - the data on which the learner is built, AR3, AR4, AR5
Learners- The learning scheme. [Cluster_based_classification ]
Output Result [D_pd, D_pf, D_prec,D_bal] = D_predictor on TEST pd,pf, precision and balance over M*N way cross validation.
Preprocessing = {supervised discretisation}
M=10;N=10;
FOR each data
D_Data = apply supervised discretisation //discretization of data
// construct predictor from D_Data
For I=1 to M
T=Generate N parts of each D_Data
For J =1 to N do
Test=T[j]
Train=T- T[j]
Model=Apply learning scheme on Train
D_Prediction =Apply Model to test
End for
End for
//Evaluate predictors on the test data
[D_pd ,D_pf, D_prec, ,D_balance ] = D_predictor on TEST
End for

Figure 1: Algorithm for Software fault prediction

IV. DATA SOURCE

We use data which is publicly available in the Promise Data Repository [25]. The three embedded projects AR3, AR4, AR5 are from Turkish white good manufacturer Software Company. Each data set is encompassed of several software modules, together with their static code attributes and associated corresponding number of faults. After metric and bug data extraction from software repositories, modules that contain one or more bugs were marked as fault prone (fp), and where no bug were reported those modules were treated as non fault prone (nfp). The fault data sets which are taken from promise repository includes LOC counts, several Halstead attributes, McCabe complexity measures as well as various other static code attributes. Individual software metric feature per data set, together with percentage faulty modules and some general descriptions are given in Table 1.

<table>
<thead>
<tr>
<th>Source</th>
<th>No of Module</th>
<th>Features</th>
<th>LOC</th>
<th>% Faulty</th>
<th>Language</th>
</tr>
</thead>
<tbody>
<tr>
<td>AR3</td>
<td>63</td>
<td>29</td>
<td>5624</td>
<td>12.7</td>
<td>C</td>
</tr>
<tr>
<td>AR4</td>
<td>107</td>
<td>29</td>
<td>9196</td>
<td>18</td>
<td>C</td>
</tr>
<tr>
<td>AR5</td>
<td>36</td>
<td>29</td>
<td>2732</td>
<td>20</td>
<td>C</td>
</tr>
</tbody>
</table>

A. Performance Measures

The accuracy and performance of prediction models for two-class problem, defective or not defective is typically evaluated using a confusion matrix. A confusion matrix contains information about actual and predicted classifications done by a classification system. In this study, we used the commonly used prediction performance measures: probability of detection (pd), probability of false alarm (pf), precision (prec), balance (bal) to evaluate and compare prediction models quantitatively. These measures are derived from the confusion matrix.

A confusion matrix

<table>
<thead>
<tr>
<th>Actual</th>
<th>Faulty Module</th>
<th>Not Faulty Module</th>
</tr>
</thead>
<tbody>
<tr>
<td>Predicted</td>
<td>FP (False positive)</td>
<td>FN (False negative)</td>
</tr>
<tr>
<td>Faulty Module</td>
<td>TP(True positive)</td>
<td>TN (True Negative)</td>
</tr>
</tbody>
</table>

Precision is also known as correctness. It is defined as the ratio of the number of modules correctly predicted as defective to the total number of modules predicted as defective.

\[
pd = \frac{TP}{TP+FN}
\]
\[
pf = \frac{FP}{FP+TN}
\]
\[
Precision = \frac{TP}{TP + FP}
\]
\[
\text{Bal} = 1 - \sqrt{\frac{(6-pf)^2 + (1-pd)^2}{2}}
\]

The higher the precision, the less effort wasted in testing and inspection. It has a strong relation with pd and pf, such that when pd is fixed for a dataset, pf rate is controlled by precision and the class distribution of the data [5].

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V. RESULTS

A novel approach Cluster based classification (CBC) method used in this research to improve the performance of fault prediction methods to detect more defects and to produce as low false alarms as possible. We compare CBC with the model proposed on embedded datasets (Aysre et al. 2011), AR3, AR4, and AR5 to validate its performance. We found that our results are outperforming with their study, so we can confirm that the CBC approach is worth using in the context of embedded software. In Table 2, the prediction performances of CBC and the model of Aysre et al. [23] are presented. Comparison of CBC with Ens1 which is consists of ANN, NB, VFI, and Ens2 (ANN,NB), in embedded datasets on the basis of pd(probability of detection). From the results, we could argue that CBC achieves good results for embedded datasets. Our model outperformed in comparison with the results of Ens1 of Aysre et al. 2011 in terms of pf on average from 22% to 9%. When we analyze our cluster based classification for all embedded projects, the average performance is (76%, 9%) in terms of (pd, pf).

CBC also outperformed of Ens2 which is improved ensemble by Aysre et al. 2011 in terms of pf on average from 17% to 9% and in pd on average from 69% to 76%. Ens1 consist of ANN, NB and VFI algorithms. The strength of these three is combined to achieve better predictions by creating ensemble Ens1. So it is a good practice to check individual performances of the algorithms. Thus, we compared our model with the result of each algorithm to evaluate each of the algorithms, whose results pd, pf, precision and balance are illustrated Tables in 2, 3, 4 and 5 for all embedded projects.

Our model decreases false alarms by 13%, and hence increases precisions by 22 % in comparison with Ens1, Mann–Whitney U tests show that these rates of our models are significantly high. Therefore we can conclude that our model is useful and is outperforming for the embedded systems. Mann–Whitney U test shows that the pf rates of two models are significantly different when we compare pf with the results of [23] for Ens1 for all embedded projects.

From the results, of Table 3 we could argue that CBC achieves good results for embedded datasets. We outperform the results of Aysre et al. 2011 in terms of pf on average from 39% to 9% for AN. In pf Mann–Whitney tests: CBC is significant outperformed than Ens1, Ens2 and also from constitute of Ens1(ANN,NB,VFI). Therefore we can conclude that our model is decreasing false alarm and is useful for the embedded systems, performing better than the Ens1 consists of ANN, NB and VFI algorithms [23].

There is significant increase in precision rates from 45% in Ens1, 48% in Ens2 to 67.5% in our model. Also it decreases false alarms by 13%, and hence increases precision by 22 %. From table 5 Mann–Whitney U tests show that the balance rates of two models are significantly different. Therefore we can conclude that our model is useful and is outperforming for the embedded systems.

This shows us in our case, defect prediction using an CBC model would definitely be helpful and outperformed than ensemble Ens2 and ANN, NB, for detecting as many defects as possible and, hence, reducing testing effort. It would correctly classify defective modules and guide developers to fewer modules to inspect rather random reviews.
We validated the performance of CBC on embedded datasets to compare with both Ens1 and Ens2 the model of Ayse et al. (2001). From Table 3, 4, and 5, it is easily seen that the CBC significantly improve false alarms, precision and balance rates for embedded system fault prediction. As from result Tables, Ens1 produces (76%, 22%, 45%, 73%) in terms of (pd, pf, prec, bal) on embedded datasets, whereas our CBC approach produced (76%, 9%, 67%, 79%) respectively which in term significantly reduces false alarms by 13% from Ens1 and 8 % from Ens2 .It also increases the precision by 19% from Ens1 and 18% from Ens2. Therefore, CBC is much better for embedded system software in terms of the confidence (precision) and false alarm of predictions.

VI. CONCLUSIONS

We presented a CBC method and process for software fault prediction. We have conducted several experiments in order to compare the performances of our model. The effectiveness of the method is demonstrated using several embedded dataset from promise repository. We employed statistical methods to assess the validity of our results; we have conducted the Mann–Whitney U test to evaluate whether the changes in pf, precision and balance can be significantly validated. Statistical tests prove the validity of our results in terms of false alarms, precision and balance. We conclude that discretization on software defect data with Fayyad & Irani’s [36] supervised discretization should be preferred and CBC approach perform better than Ens1 or Ens2 [23]. The time complexity of ensemble methods, increases rapidly with dimensionality of the data and is constructed by constitution of various algorithms. But our method uses a single CBC technique and is also good for huge data. From a software practitioner’s point of view, these results are useful for detecting faults before proceeding to the test phase. In this sense, test resources can be managed more efficiently. The contributions of this research are two folds: In empirical studies replications are very important to improve, refute, and validate the results of others [5, 45]. Ayse et al. donated data to the promise repository which is publicly available to encourage other researchers to repeat, improve or refute their study; our work is the first response to their call. This research is not only a replication study, but also provides an effective software fault predictor model for embedded dataset.

On all projects, our model detects 76% defective modules while producing 9% false alarms. Our model significantly improves the precision from 48% to 67%.It also manages to improve the balance rates from 74% to 79% on average (all projects). Furthermore we will attempt to use intelligent computing for data preprocessing or activities for the removal of non informative features to improve the performance of software fault prediction models.

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